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Efference copy failure during smooth pursuit eye movements in  
schizophrenia

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## Abstract

Abnormal smooth pursuit eye movements in patients with schizophrenia are often considered a consequence of impaired motion perception. Here we used a novel motion prediction task to assess effects of abnormal pursuit on perception in human patients. Schizophrenia patients ( $n=15$ ) and healthy controls ( $n=16$ ) judged whether a briefly presented moving target (“ball”) would hit/miss a stationary vertical line segment (“goal”). To directly relate prediction performance and pursuit, we manipulated eye movements: in half of the trials, observers smoothly tracked the ball, in the other half, they fixated on the goal. Strict quality criteria ensured that pursuit was initiated and that fixation was maintained, respectively. Controls were significantly better in trajectory prediction during pursuit than during fixation, their performance increased with presentation duration, and their pursuit gain and perceptual judgments were correlated. Such perceptual benefits during pursuit may be due to the use of extra-retinal motion information, estimated from an efference copy signal. With an overall lower performance in pursuit and perception, patients showed no such pursuit advantage and no correlation between pursuit gain and perception. While patients’ pursuit showed normal improvement with longer duration, their prediction performance failed to benefit from duration increases. This dissociation indicates relatively intact early visual motion processing but a failure to utilize efference copy information. Impaired efference function in the sensory system may represent a general deficit in schizophrenia and thus contribute to symptoms and functional outcome impairments associated with the disorder.

Keywords: schizophrenia, eye movements, smooth pursuit, motion prediction, extra-retinal, efference copy, corollary discharge, frontal eye field

## **Efference copy failure during smooth pursuit eye movements in schizophrenia**

Most of our visual experiences are gained from actively exploring the visual world through movements of the eyes. The fact that the visual sense organ can move to sample the environment and select objects of interest for further investigation places additional demands upon the system, but also permits unique opportunities. Smooth pursuit eye movements are continuous, slow movements which center and stabilize the image of a moving object on the fovea; they are closely related to inputs from the visual motion processing system (Lisberger, 2010; Spering and Montagnini, 2011) and thus provide an excellent system to study the continuous read-out of sensory information. Abnormalities in smooth pursuit are common in many disease states. The inability to smoothly track moving objects results in motion blur and impaired visual acuity (Methling and Wernicke, 1968; Brown, 1972; but see Westheimer and McKee, 1975) and may lead to impaired efficiency in interactions with the visual world.

In schizophrenia, pursuit abnormalities affect up to 80% of patients and their nonpsychotic first-degree relatives (O'Driscoll and Callahan, 2008; Levy et al., 1993; 2010). Characteristics of smooth pursuit in schizophrenia are deficits in predictive pursuit (e.g., Sweeney et al., 1998; Thaker et al., 1996, 1999, 2003; Lencer et al., 2004; Hong et al., 2008) as well as a global decrease in pursuit smoothness and accuracy (e.g., Yee et al., 1987; Clementz and McDowell, 1994; Sweeney et al., 1994; Levy et al., 2000; Kathmann et al., 2003; Ettinger et al., 2004; Lencer et al., 2004), particularly during the closed-loop pursuit phase. Whereas open-loop pursuit, the initial 100-150 ms of the response, is driven exclusively by feed-forward image motion signals originating in the retina (Lisberger et al., 1987; Lisberger, 2010), closed-loop pursuit depends upon both retinal motion signals and extra-retinal feedback information, such as an efference copy or “corollary discharge” of the oculomotor output.

The schizophrenia pursuit deficit could be caused by impairments in low-level motion processing (e.g., Stuve et al., 1997; Chen et al., 1999, 2003, 2008; Slaghuis et al., 2005; Butler and Javitt, 2005; Kim et al., 2006; Chen, 2011; Nagel et al., 2012) and/or higher-level predictive mechanisms (e.g.,

Fukushima et al., 1994; Sweeney et al., 1998; Thaker et al., 1996, 1999; Keedy et al., 2006; Hong et al., 2008), such as an inability to generate or utilize efference-copy information.

Here we investigate the perceptual consequences of pursuit in patients with schizophrenia to directly assess efference function in these patients. Most recently, based upon a study of healthy volunteers, we have suggested that tracking a moving object with smooth pursuit leads to significant improvement in the ability to predict the object's trajectory (Spring et al., 2011). We discovered this pursuit benefit using a novel paradigm which required observers to extrapolate the object's trajectory either during fixation or during smooth pursuit, based on retinal motion signals or a combination of retinal and extra-retinal (motor efference copy) signals. The present study is the first to experimentally manipulate eye movements in schizophrenia patients to directly compare motion prediction during smooth pursuit and fixation. Improved motion prediction during pursuit would indicate the successful use of efference-copy signals from smooth-pursuit control regions. Conversely, the absence of a pursuit benefit in patients would indicate failure to generate or use motor efference-copy information.

## **Materials and Methods**

### Observers

This study was conducted at the Nathan Kline Institute for Psychiatric Research (NKI) in Orangeburg, NY. Observers were 15 patients (14 male; 26-54 years, mean  $40.5 \pm 8.8$ ), who met DSM-IV (SCID-defined) criteria for schizophrenia or schizoaffective disorder, and 16 controls (11 male; 23-51 years, mean  $31.6 \pm 7.6$ ) with no history of SCID-defined Axis I psychiatric disorder; four of the control subjects were research assistants at NKI who were unaware of the goals of the study. Schizophrenia patients were inpatients at Rockland Psychiatric Center, Orangeburg, NY, or recruited from outpatient clinics in southern New York State and northern New Jersey; controls were recruited at NKI. Study procedures were approved by the local ethics committee, and written informed consent was obtained from all observers after full explanation of procedures.

All patients were clinically stable and on a stable dose of second-generation antipsychotic medication at the time of testing (6 patients received clozapine, 3 quetiapine, 2 olanzapine, 2 haloperidol, 1 perphenazine, and 1 aripiprazole; 5 patients received a combination of more than one antipsychotic drug and 3 also received benztropine, an anticholinergic). Patients and controls were excluded if they had any neurological or ophthalmologic disorders that might affect performance, or if they met criteria for alcohol or substance dependence within six months preceding the time of testing, or alcohol/substance abuse within one month prior to testing. All observers had normal or corrected-to-normal visual acuity (20/40 or better monocular and 20/25 or better binocular) as assessed with the Logarithmic Visual Acuity Chart (Precision Vision, La Salle, IL). As expected, patients had significantly fewer years of education and a lower socioeconomic status (Hollingshead SES) than controls (**Table 1**). Patients and controls differed significantly in age (see **Table 1**); this factor will be accounted for by including age as a covariate in statistical tests. To assess daily functioning, patients also completed the UCSD Performance-Based Skills Assessment (UPSA), a role-play based test which includes five domains (household chores, communication, finance, transportation, planning recreational activities). Patients were also assessed using the Independent Living Scales (ILS) and the Global Assessment of Functioning (GAF). Symptom severity was measured in patients using the Positive and Negative Syndrome Scale (PANSS), assessing positive symptoms, negative symptoms and general psychopathology (GPS) on three scales. Cognitive functioning in controls and patients was assessed using subtests from the MATRICS Consensus Cognitive Battery (MCCB) for the domains speed of processing, attention/vigilance, working memory, visual learning and reasoning/problem solving (e.g., Kern et al., 2011).

<i>Table 1 here</i>
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### Experimental Procedure and Design

In a paradigm termed “eye soccer” (Spering et al., 2011), observers viewed a small object (the “ball”) move linearly and at constant speed across a computer screen towards a stationary line segment

(the “goal”). In different blocks of trials, observers were asked to either track the ball smoothly or fixate on the stationary goal. At the end of each trial, observers had to judge whether the ball would have hit or missed the goal. This task requires the ability to predict a visual motion trajectory, because both ball and goal were blanked before the hit or miss event. **Fig. 1** shows a trial sequence in an individual pursuit (**Fig. 1a**) and fixation trial (**Fig. 1b**). Each trial began with a 500-ms fixation period; the initial horizontal and vertical position of the fixation spot was varied from trial to trial within a range of  $3.5^\circ$  around the monitor center. Observers pressed a button to initiate onset of ball motion. In pursuit trials, the initial fixation spot became the pursuit target upon motion onset and observers were instructed to track it accurately with their eyes. In fixation trials, observers were instructed to maintain fixation on the initial fixation spot, which was superimposed by the goal. Ball and goal disappeared simultaneously after 200 or 500 ms presentation duration and before a judgment was prompted. At the end of each trial, observers estimated whether the target would have hit or missed the goal, if motion had continued. Responses (hit or miss) were given verbally and the experimenter pressed an assigned button on the computer keyboard.

*Figure 1 here*

Ball speed was constant at  $10^\circ/\text{s}$ . Hit positions were on the goal, at  $0.25^\circ$  from the goal endpoints towards the goal center, and miss positions were outside the goal, at  $0.25^\circ$  from the goal endpoints. Ball-goal distance upon disappearance was constant at  $3^\circ$ . To make ball or goal motion less predictable, trajectory direction and angles were varied. The ball moved either to the left or right and either along the horizontal meridian ( $0^\circ$  angle) or diagonally up ( $+15^\circ$  from horizontal) or down ( $-15^\circ$ ; see **Fig. 1c**).

Presentation durations, motion direction and angles were randomly interleaved in each block of trials; fixation and pursuit instructions were blocked because a pilot experiment with two patients showed that randomly interleaving those instructions in a given block was too difficult. To introduce observers to the perceptual task, each observer completed a practice block of 48 pursuit trials, in which s/he received automated auditory feedback on perceptual performance after each trial (a low-pitch tone



following incorrect judgment of hit or miss). Each observer then completed eight blocks of 48 trials each without feedback; four fixation blocks followed by four pursuit blocks or vice versa, with observers randomly assigned to either order. This procedure resulted in a total of 96 trials per condition per subject (2 presentation durations x 2 eye movement conditions). Seven observers (four patients) completed only parts of the experiment (4-6 blocks) due to problems with eye-tracker calibration or recording, resulting in a total of 10,896 trials for all 31 observers.

### Visual Stimuli and Apparatus

Visual stimuli were presented on a calibrated 20" CRT monitor at a refresh rate of 100 Hz, set to a spatial resolution of 1280 x 1024 pixels. Observers viewed stimuli from a distance of 57 cm with their head stabilized by a chinrest. The ball (white Gaussian dot, SD = 0.15°) and goal (vertical white line segment, 3° long, 0.15° wide) were presented on a uniform black background. To block residual light from the monitor and reduce visibility of the target's phosphor track, two neutral-density filters (LEE Filters, Burbank, CA) were mounted in front of the display. Through the filters, the black background had a luminance of  $<0.001$  cd/m<sup>2</sup>; white and red pixels had a luminance of 1 and 0.11 cd/m<sup>2</sup>, respectively. Experiments took place in a dark and light-shielded room to reduce the use of external reference frames.

### Eye Movement Recording and Analysis

Eye position of the right eye was recorded with an Eyelink 1000 eye tracker (SR Research, Kanata, ON, Canada) at 1000 Hz. All procedures for post-processing of eye position data are identical to those reported in Spering et al. (2011). Briefly, eye velocity was obtained by digital differentiation of eye position signals over time, filtered with a low-pass filter. Horizontal and vertical saccades were removed from unfiltered traces and eye velocities were replaced by linear interpolation between saccade on- and offset; these were detected based on the third derivative of eye position over time. Pursuit onset was detected by fitting each 2D position trace with a piecewise linear function, consisting of two linear segments and one breakpoint. The least-squares fitting error was minimized iteratively

(using the function `lsqnonlin` in Matlab) to identify the best location of the breakpoint, defined as the time of pursuit onset.

To ensure that pursuit was elicited in pursuit trials and that fixation was maintained in fixation trials, further analysis was based on accurate trials with low position error (criteria described in Spering et al., 2011). In patients, we excluded 34% of all pursuit trials and 18% of all fixation trials. In controls, we excluded 34% of all pursuit and 9% of all fixation trials. We further excluded trials with eye blinks during stimulus presentation (patients: 7% of all trials, controls: 3%). This resulted in a total of 5259 (48%) remaining trials for analysis across subjects.

We analysed characteristics of open-loop pursuit (latency, peak velocity, acceleration) and closed-loop pursuit (gain). Open-loop peak velocity and acceleration were averaged across the first 100 ms of the pursuit response; closed-loop velocity gain was calculated as the mean eye velocity in the interval 150-300 ms after pursuit onset divided by the target velocity. We further calculated the 2D eye position error relative to the extrapolated ball landing position at the time of stimulus offset and the number and amplitude of catch-up saccades. Analysis of eye-movement characteristics was done for all those trials in which the instruction to track the ball (34% of all trials across groups) or fixate on the goal (13.5%) was violated.

### Analysis of Perceptual Judgments and Statistics

We report perceptual performance as the proportion of correct trials as  $PC = (n_{\text{Hits}} + n_{\text{CR}})/n_{\text{Total}}$ , where  $n_{\text{Hits}}$  and  $n_{\text{CR}}$  are the numbers of “hits” (judgment “hit” when the ball would have hit the goal) and “correct rejections” (judgment “miss” when the ball would have missed). We used repeated-measures ANOVA and linear mixed-effects models with age as a covariate to compare perceptual performance or pursuit characteristics between groups and across conditions. Note that non-integer denominator degrees of freedom in mixed-effects models are approximations.

## Results

### Perceptual Performance

The primary analyses (**Figs. 2 and 3a,b**) included only trials where accurate pursuit or fixation had been performed as indicated by eye-tracking (see Methods). As predicted, controls showed significantly better perceptual prediction performance in the pursuit than fixation condition across presentation durations ( $F(1,15) = 5.61, p = .03$ ; **Fig. 2a**) and significantly better performance at long than short duration ( $F(1,15) = 13.23, p = .002$ ; **Fig. 2b**). By contrast, patients not only performed worse overall ( $F(1,30) = 8.71, p = .006$ ), but also failed to show benefit from either pursuit ( $F < 1$ ; **Fig. 2a**) or increased stimulus duration ( $F < 1$ ; **Fig. 2b**), leading to a highly significant group X duration interaction ( $F(1,29) = 9.96, p = .004$ ; compare control and patient data, denoted by filled and empty symbols, respectively, in **Fig. 3a**). Separate models by eye condition confirmed that the group X duration interaction was significant for both pursuit ( $F(1,29) = 4.87, p = .04$ ) and fixation ( $F(1,29) = 8.89, p = .006$ ). Neither group showed a significant condition X duration interaction (both  $F < 1$ ), suggesting that eye condition and duration contributed independently to improved performance.

In approximately  $\frac{1}{3}$  of trials with pursuit instruction, both controls and patients showed absent or inaccurate smooth pursuit. We evaluated perceptual performance in these pursuit-error trials and found that controls showed somewhat worse performance than on trials with accurate pursuit (compare filled squares, **Fig. 3a** vs. **3b**), although differences were not statistically significant ( $F(1,15) = 4.06, p = .06$ ). Even in pursuit-error trials, however, duration effects remained significant ( $F(1,15) = 5.68, p = .03$ ). In contrast, patients showed no significant difference in perceptual performance regardless of whether pursuit was accurate or inaccurate (empty squares, **Fig. 3a** vs. **3b**;  $F < 1$ ). In 9% of trials with fixation instruction in controls and 18% of trials in patients, eye tracking showed that subjects had failed to maintain accurate fixation during the trial (**Fig. 3b** – triangles, dashed lines). Despite lack of adequate fixation, performance in those trials was not significantly different than in trials where adequate fixation had been maintained in either group (all  $F < 1$ ).

### Smooth Pursuit Quality

In prior studies in healthy volunteers, we have observed that benefit associated with eye condition (pursuit vs. fixation) was closely related to pursuit quality, e.g., closed-loop pursuit velocity gain. Because patients with schizophrenia are known to show disturbances in smooth pursuit eye-movement performance, in particular in closed-loop gain, we next asked whether the lower perceptual performance in patients and the lack of a pursuit benefit may have been due to a lower accuracy of closed-loop pursuit in patients than in controls.

We compared pursuit characteristics between groups and across presentation durations. Two observations were made. First, with the exception of pursuit latency, all other pursuit characteristics in controls and patients benefitted from longer presentation duration, as indicated by significant main effects of duration on open-loop acceleration and peak velocity, closed-loop gain, and catch-up saccade frequency and amplitude (see **Table 2** for means, standard deviations and ANOVA results). For all measures, the duration X group interactions were non-significant ( $F < 1$ ), indicating that controls and patients responded similarly to the increase in presentation duration. For instance, the improvement in pursuit gain with presentation duration was highly significant for controls ( $F(1,15) = 34.58, p < .0001$ ) and for patients ( $F(1,14) = 26.33, p < .0001$ ). Mean velocity traces for controls (**Fig. 4a**) and patients (**Fig. 4b**) reflect this effect of stimulus duration (compare red traces, 200 ms presentation duration, with blue traces, 500 ms presentation duration). Second, patients' pursuit velocity (**Fig. 4b**) was overall lower than pursuit velocity in controls (**Fig. 4a**). Reductions were observed in open-loop acceleration (see means in **Table 2**; up to 7% slower in patients), peak velocity (up to 18% slower in patients), and closed-loop gain (up to 44% slower in patients). However, these deficits in patients' pursuit were only significant in the closed-loop phase, with a significant main effect of group on velocity gain (see **Table 2**). No significant main effects of group were observed for any of the open-loop characteristics or for the saccade measures. Correspondingly, effect sizes (Cohen's  $d$ ) were medium to large for gain ( $d =$

0.93 and 0.66 for short and long duration, respectively), small for acceleration (short: 0.04; long: 0.25), and small to medium for peak velocity (0.36 and 0.55). Consistent with previous studies (reviewed in O'Driscoll & Callahan, 2008), patients' pursuit was therefore most impaired in the closed-loop phase. Pursuit latency was not significantly affected by presentation duration and did not differ significantly between groups. Overall, latency was long, approx. 180 ms on average. Such long latencies may reflect task difficulty, short stimulus presentation duration, and the fact that all observers were untrained.

*Figures 4 and 5 here*

Further exploration of the significant group effect on closed-loop pursuit gain showed that for controls, there was a highly significant correlation between perceptual performance (proportion correct in the perceptual task) and pursuit gain (**Fig. 5a**), whereas no significant correlation was observed in patients (**Fig. 5b**). These findings indicate a close relationship between motion trajectory prediction and pursuit gain in controls, but not in patients.

#### Mixed Model Analysis

Given 1) the significant group X duration effect on perceptual performance, 2) the reduced closed-loop gain across durations in patients, but 3) the relatively normal effect of duration on closed-loop gain in patients, and 4) the correlation between closed-loop gain and perceptual performance for controls but not patients, a mixed-model analysis was conducted with perceptual performance as dependent variable, duration and group as factors, and gain as covariate, in order to further assess significant interactions between variables.

As expected, there was both a significant main effect of group ( $F(1,30.9) = 12.73, p = .001$ ), as well as a significant group X gain interaction ( $F(1,28.9) = 3.92, p = .05$ ). The group X gain interaction reflected the increase in performance with increased gain across durations in controls ( $F(1,17.6) = 4.31, p = .04$ ), but not patients ( $F < 1$ ), and thus demonstrates the significant contribution of impaired closed-loop gain in patients to the impairment in perceptual accuracy. Nevertheless, we also observed a significant group X duration interaction ( $F(1,42.8) = 4.96, p = .03$ ), reflecting a differential ability to

benefit from stimulus duration over and above the contribution of gain impairments. Again, separate models by group confirmed these findings. Thus, controls showed a significant main effect of duration ( $F(1,15.5) = 5.67, p = .02$ ) but patients did not ( $F < 1$ ). The significant group X duration interaction parallels the highly significant group X duration interaction observed in the fixation condition (see above; **Fig. 3a** – triangles, dashed lines), where between-group differences cannot be attributed to closed-loop gain. This finding thus reinforces substantial inability of patients to benefit from increased stimulus duration both directly and via effects on closed-loop gain. It also suggests that stimulus duration improves perceptual performance in controls both directly and via effects on pursuit gain.

### Eye Position Error

A large number of trials in either group were excluded from the pursuit analysis reported so far, because observers did not smoothly track the target (e.g., no smooth pursuit onset found or tracking with a large position error, usually resulting from a saccade to the target). Similarly, fixation trials were excluded if observers broke fixation and made a saccade to the target. To further assess the relationship between pursuit quality and perceptual performance, we analysed eye position error and perceptual performance in these previously excluded trials. Eye position errors were calculated as the distance between the eye and the extrapolated physical hit/miss position at the time of target offset. **Fig. 6** compares mean eye position errors in trials with correct (black) and incorrect (red) perceptual judgments and reveals distinct differences between controls (**Fig. 6a**) and patients (**Fig. 6b**): in controls, eye position errors were the same in trials with correct and incorrect judgments ( $F < 1$ ); in patients, eye position errors were larger in trials in which the wrong perceptual response was given ( $F(1,14) = 15.65, p = .001$ ), leading to a highly significant group X response interaction:  $F(1,30) = 7.04, p = .01$ . These findings suggest that perceptual errors in patients were related to eye-movement error, whereas in controls perceptual errors were related to stimulus processing, but the ability to control eye movements was not a limiting factor.

*Figure 6 here*

### Sensory Performance Predicts Symptom Severity

We assessed the relationship between patients' sensory performance (perceptual prediction performance and pursuit gain) and symptom severity (PANSS) as well as global function (GAF, ILS, and UPSA scales). Aggregated sensory measures were significantly related to PANSS global psychopathology scores and to total symptoms, but not positive or negative symptoms (**Table 3**). Sensory measures were also significantly related to performance on the UPSA test, which uses role-play to assess ability to perform daily tasks such as reading bus schedules or counting change; tasks like these require accurate eye-movement control. In contrast, sensory measures were not related to other global function measures such as GAF or ILS, which are based on observation or interview. Patients' sensory performance was also significantly related to their performance in the visuospatial memory subtest of the MATRICS Consensus Cognitive Battery (MCCB; **Table 3**); no relationship with other MCCB subtests or total score was found.

*Table 3 here*

All patients were receiving antipsychotic medication at time of testing. Although there was a trend toward a correlation between medication dose and overall perceptual performance across fixation and pursuit conditions ( $r = -.59, p = .07$ ), medication dose was not significantly correlated either with benefit from pursuit ( $r = .49, p = .18$ ) or duration ( $r = .31, p = .39$ ) and was also uncorrelated with gain ( $r = .08$ ), indicating that medication did not constrain performance in this task.

Although age was not a significant factor in any of the analyses, where it was included as a covariate (all  $F < 1$ ), we conducted an additional analysis to rule out any effects that age might have had on the quality of smooth pursuit. We removed the youngest controls and oldest patients, yielding two groups of  $n=12$  each that did not differ significantly in age ( $p = .14$ ). All results remained unchanged (for instance,  $p = .04$  for group effect on gain, as in **Table 2**), indicating that age was not a factor limiting pursuit performance in our study.

## Discussion

Although cognitive dysfunction is known to be a core feature of schizophrenia, underlying mechanisms remain an area of active investigation. Increasingly, impaired connectivity across brain regions, and particularly impaired ability of the brain to utilize “top-down” efference information, have been proposed as mechanisms underlying sensory dysfunction (Ford and Mathalon, 2012; Ford et al., 2012). We have recently observed improved perceptual prediction performance when participants from a cohort of high-functioning healthy undergraduates tracked a moving object with their eyes than when they simply fixated on the target. This finding suggests that brain areas involved in visual processing receive pursuit efference-copy information, boosting perception during pursuit (Spering et al., 2011). The present study applies this paradigm for the first time to schizophrenia patients and not only confirms our finding in healthy observers, but also demonstrates lack of pursuit benefit in patients even when performing the pursuit task appropriately. These findings strongly support the concept of efference dysfunction in schizophrenia, and suggest that it may underlie dysfunction across sensory systems and brain regions.

Because of the inclusion of eye tracking in the present study, we were able to not only evaluate the degree to which patients benefitted from pursuit, but also the degree to which task- and group-level effects were mediated through pursuit quality. Potential contributions were assessed by linear mixed models, yielding three effects. First, patients were able to adequately pursue targets, with rates of non-pursuit that were comparable across groups (approx.  $\frac{1}{3}$  of trials each). Although patients did show reduced pursuit gain, consistent with prior reports in schizophrenia (e.g., O’Driscoll & Callahan, 2008; Levy et al., 2010), their open-loop pursuit, rate of catch-up saccades and eye-position error were not significantly impaired. Most importantly, patients and controls showed similar improvement in open-loop and closed-loop pursuit with increasing stimulus duration. Thus, patients appeared to have understood the task and showed appropriate levels of task engagement. Consistent with previous



studies we did not observe age effects on pursuit in our young to middle-aged subjects; a significant decline in pursuit quality is usually observed at an older age (e.g., Paige, 1994; Ross et al., 1999; Sprenger et al., 2011; de Hemptinne et al., 2012).

Second, patients' perception did not benefit significantly from performing the pursuit task. In our control group, there was a significant correlation between pursuit gain and prediction performance across stimulus durations that was absent in patients. Moreover, the group X gain interaction from the mixed model confirmed that patients not only failed to benefit significantly from tracking, but can be differentiated from controls on this measure. Hong et al. (2009) reported that patients benefitted from pursuit eye movements. However, this occurred in the context in which subjects were asked to fixate but pursuit movements were observed during fixation. In the present study, patients had greater tendency than controls to break fixation (18 vs. 9% of trials), but no performance difference was observed between trials with accurate and inaccurate fixation. This shows that even though accurate fixation may have been more difficult to achieve in patients it is not a factor limiting their performance. Patients in our study had larger eye-position errors in trials in which they also gave an incorrect perceptual judgment, whereas there was no such relationship in controls. These findings support the assumption that schizophrenia patients may have difficulty compensating for sensory (retinal) consequences of their own eye movements (Lindner et al, 2005); they also fit with the assumption that patients over-rely on retinal error signals to maintain smooth pursuit (Hong et al., 2005, 2009), potentially as a consequence of efference copy failure.

Third, in controls we observed significantly better perceptual performance for long vs. short duration stimuli in the fixation as well as the pursuit condition, indicating that the improvement in the perceptual task with longer duration was not mediated by the effect of stimulus duration on pursuit gain alone. Furthermore, in controls, stimulus duration remained a significant predictor of perceptual performance even when pursuit gain was included in the analysis. Patients did not show significant improvement of perceptual performance with increased stimulus duration either during fixation or

during pursuit. Significant group X duration interactions confirmed the differential performance in patients vs. controls. The inability to benefit perceptually from increased stimulus duration while showing expected benefits of presentation duration in pursuit indicates that visual motion information may be processed partly independently for perception and pursuit. This finding is the first report of perception-action dissociation in schizophrenia patients. It suggests that early visual motion processing may be relatively intact in patients, while they may fail to utilize pursuit efference copy information to improve perception. This perception-pursuit dissociation is consistent with the recent finding that pursuit velocity responds to visual information that the perceptual system is unaware of (Spering & Carrasco, 2012; Tavassoli & Ringach, 2010). These studies suggest that visual motion processing for perception and smooth pursuit may occur in partly separate pathways or rely on partly different neuronal mechanisms, depending on the task and situation (Spering & Montagnini, 2011). Visual motion information for pursuit is partly processed through the pulvinar nucleus of the thalamus, directly connecting the retina to the superior colliculus and the brainstem; this pathway has recently been associated with the processing of unperceived and invisible information (Wilke et al., 2009; Tamietto et al., 2010) and may be intact in blindsight (Huxlin et al., 2009). Our current findings suggest that this pathway may also be intact in schizophrenia and that these patients may serve as “model system” in which to investigate the mechanisms by which visual motion integration occurs for perception and pursuit.

### Neuronal mechanisms

Schizophrenia is increasingly being viewed as a disease that affects neural processes across cortical and subcortical (e.g. thalamic) brain regions. For example, similar histological abnormalities in these patients are observed not only in frontal brain regions (Beneyto and Lewis, 2011) but also in primary auditory (Sweet et al., 2009) and visual (Dorph-Peterson et al., 2007) brain areas. At the macroscopic level, similar magnitude (approx. 1.5 sd effect size) deficits are also seen across a broad range of cognitive measures including speed of processing, attention, working memory, verbal

learning, visual learning, reasoning, and social cognition (Kern et al., 2011). In our study, patients showed relative preservation of some functions, such as duration effects on pursuit, while showing severe impairments in others, such as improvement in perceptual performance with pursuit. Because of the well-studied nature of the circuits underlying smooth pursuit, these findings permit analysis of potential general principles underlying impaired visual/cognitive function in schizophrenia, at both the “bottom-up” and “top-down” levels.

At the bottom-up level, motion detection deficits have been well documented in schizophrenia. Behavioral and imaging studies propose underlying dysfunction of dorsal stream regions MT/MST (Chen, 2011; Chen et al., 2003, 2008) or deficits in magnocellular input (e.g. Kim et al., 2006), possibly due to reduced contrast gain in this system (Butler et al., 2007). For example, we have recently observed reduced MT activation to low-contrast expanding/contracting annuli, related to impaired V1 activation to these stimuli (Martínez et al., 2008; 2012). The stimulus used in the present study cannot determine relative contributions of magnocellular vs. parvocellular inputs. Nevertheless, the relatively intact open-loop pursuit, which we found to be reduced but not significantly so, argues against global dysfunction of early motion processing regions such as V1 and MT/MST, which are critically involved in estimating target direction and speed for pursuit (Lisberger and Movshon, 1999), although more specific deficits related to failure of center/surround (Tadin et al., 2006) or other modulatory impairments cannot be ruled out. Rather, the deficit to benefit from increased stimulus duration in making trajectory predictions, which is over and above the significant disturbances related to closed-loop pursuit, supports arguments for a deficit in areas involved in efference-copy and predictive mechanisms. Potential candidates are areas in prefrontal cortex such as the frontal (FEF) and supplementary (SEF) eye fields that control the transformation of visual signals into motor commands and modulate gain control (e.g., Gottlieb et al., 1993; Tanaka and Lisberger, 2001; Lisberger, 2010; Mahaffy and Krauzlis, 2011), mediate predictive pursuit (de Hemptinne et al. 2008; Heinen and Liu 1997; MacAvoy et al., 1991; Coppe et al., 2012) and the ability to access visual motion signals from

working memory (Zaksas and Pasternak, 2006; Hussar and Pasternak, 2012; Shichinohe et al., 2009; Fukushima et al., 2011). The lack of benefit from increased stimulus duration and from pursuit may reflect either a failure of FEF/SEF to generate the appropriate efference information, or the ability of motion-processing areas to make use of efference information.

Moreover, although mechanisms underlying the impaired temporal integration of motion information observed in this study are unknown, we note that in area LIP in the monkey, N-methyl-D-aspartate-type glutamate receptors (NMDAR) are postulated to play a critical role in integration of motion information over intervals similar to those used here (200-500 ms; Mazurek et al., 2003; Gold and Shadlen, 2007). Area LIP also plays a critical role in target selection in saccades and pursuit, but does not show pursuit-related activity (O’Leary and Lisberger, 2012). Thus the involvement of this area could underlie the differential findings regarding duration effects on target detection (impaired) vs. pursuit (intact) in the present study.

### Conclusion

The present study makes several key points. First, it demonstrates that not all early visual processes are impaired in schizophrenia. In particular, open-loop pursuit was relatively intact, despite significant reductions in closed-loop gain. Factors underlying these differential disturbances deserve greater attention. Second, it demonstrates that partly separate brain systems or different mechanisms may integrate visual motion information over time for perception and pursuit, as reflected in differential improvements of trajectory prediction and pursuit with presentation duration. Finally, we demonstrate impaired efference-copy function in the visual system of schizophrenia patients vs. controls. Impaired efference function has previously been demonstrated in the auditory system, contributing significantly to pathophysiology of auditory hallucinations in schizophrenia patients and their clinically unaffected first-degree relatives (Ford and Mathalon, 2012; Ford et al., 2012). However, the study of efference dysfunction in the auditory system is complex and requires assessment of neurophysiological read-out (event-related potentials) during talking vs. listening. In the present study,

we utilized a novel behavioral paradigm for detection of efferent feedback, visual motion trajectory prediction during tracking vs. fixation, and show highly significant impairments in patients. Failures in the ability to utilize efference-copy information may represent a general deficit in schizophrenia and may contribute to symptoms and functional outcome impairments associated with the disorder. An extension of our protocol to nonpsychotic first-degree relatives of schizophrenic patients could reveal whether efference-copy failure in the visual system may be a marker for genetic risk for the disease.

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Table 1. Demographic data.

	Control subjects ( <i>n</i> = 16)	Schizophrenic subjects ( <i>n</i> = 15)	Test statistic ( <i>p</i> -value)
Age (yrs)	31.6 (7.6)	40.5 (8.8)	.007
Education (yrs)	14.3 (2.5)	10.7 (2.6)	.001
IQ quick test score <sup>a)</sup>	101.3 (6.3)	97.2 (9.5)	.21
SES score <sup>b)</sup>	42.2 (11.6)	21.9 (7.1)	.0001
Illness duration (yrs)	–	19.7 (9.9)	N/A
CPZ equivalent <sup>c)</sup>	–	1025 (655.9)	N/A

Mean (SD) are shown. N/A, Not applicable.

<sup>a)</sup> Quick Test IQ (Ammons and Ammons, 1962)

<sup>b)</sup> Hollingshead socioeconomic scales (SES), total score/100; higher values indicate better performance.

<sup>c)</sup> Chlorpromazine dose equivalent (in milligrams per day)

Table 2. Open-loop and closed-loop pursuit measures and characteristics of catch-up saccades for short and long presentation duration.

	Controls		Patients		Duration		Group		Interaction	
	short	long	short	long	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>
Latency	176.88 (19.77)	179.44 (29.25)	172.64 (26.11)	180.35 (21.67)	3.63	.07	0.13	.72	1.02	.32
Acceleration	143.04 (31.57)	159.85 (41.17)	141.77 (29.46)	149.93 (38.67)	8.75	<b>.006</b>	0.43	.52	1.05	.31
Peak velocity	5.42 (1.61)	6.23 (2.29)	4.94 (0.95)	5.29 (0.76)	5.5	<b>.03</b>	1.23	.28	0.84	.37
Gain	0.23 (0.07)	0.37 (0.12)	0.16 (0.08)	0.28 (0.15)	60.49	<b>.000</b>	4.61	<b>.04</b>	0.23	.63
Saccade number	0.39 (0.18)	1.2 (0.33)	0.48 (0.15)	1.29 (0.24)	336.4	<b>.000</b>	1.22	.28	0.01	.91
Saccade amplitude	1.35 (0.45)	2.34 (0.8)	1.39 (0.33)	2.49 (0.59)	170.5	<b>.000</b>	0.6	.45	0.87	.36

Mean (SD) are shown. Numbers in bold are significant results in mixed-effects models with factors duration and group and age as a covariate; none of the measures showed a significant effect of age.

Table 3. Linear regression results for patients’ sensory performance (perceptual motion prediction, pursuit gain) as predictor and clinical scales assessing daily functioning (GAF, ILS, UPSA) and symptom severity (PANSS scales).

	<i>n</i>	<i>M (SD)</i>	<i>R</i> <sup>2</sup>	<i>F</i>	<i>P</i>
GAF <sup>a)</sup>	10	43.0 (11.9)	.35	1.91	.22
ILS <sup>b)</sup>	11	53.1 (6.9)	.03	.13	.88
UPSA <sup>c)</sup>	12	68.7 (13.4)	.53	4.99	<b>.04</b>
PANSS positive	10	19.0 (4.5)	.50	3.45	.09
PANSS negative	10	21.0 (5.5)	.06	.24	.80
PANSS GPS	10	41.6 (7.9)	.81	15.21	<b>.003</b>
PANSS total <sup>d)</sup>	10	81.6 (10.2)	.77	11.83	<b>.006</b>
MCCB BVMT <sup>e)</sup>	14	31.3 (15.2)	.49	5.23	<b>.03</b>
MCCB total	14	39.7 (9.7)	.17	1.10	.37

First column shows mean (SD).

<sup>a)</sup> Global Assessment of Functioning (Hall, 1995), total score/100.

<sup>b)</sup> Independent Living Scales (Loeb, 2003), total score/100.

<sup>c)</sup> UCSD Performance-Based Skills Assessment (Patterson et al., 2001), total score/100.

<sup>d)</sup> Positive and Negative Syndrome Scale (Opler et al., 1999); lower values indicate higher symptom severity (score range 30 to 210).

<sup>e)</sup> MATRICS Consensus Cognitive Battery – Brief Visuospatial Memory Test

## Figure captions

Figure 1. Trial sequence in eye soccer. **A:** Pursuit trial, step-ramp motion of target towards goal for 200 or 500 ms. **B:** Fixation trial, fixation on goal, ramp motion of ball towards fixation for 200 or 500 ms. For illustration purposes eye position in each condition is indicated by red-dotted circles. **C:** Ball motion angles.

Figure 2. Difference measures for pursuit vs. fixation and long vs. short presentation duration. **A:** Pursuit benefit, calculated as performance in pursuit trials minus performance in fixation trials. **B:** Duration benefit, calculated as performance in trials with long presentation duration minus performance with short duration. Black bars indicate results for controls and white bars indicate results for patients. Data are means  $\pm$  SE. Asterisks indicate results significantly different from zero in two-tailed t-tests; \*  $p < .05$ , \*\*  $p < .01$ .

Figure 3. Comparison of perceptual prediction performance between pursuit (squares, solid lines) and fixation (triangles, dashed lines) by group (filled symbols: controls, open symbols: patients) and presentation duration. For visibility purposes, results for the two groups are shown at an offset. **A:** Results for trials with correct pursuit/fixation in controls and patients. **B:** Results for trials with incorrect pursuit/fixation. Data are means  $\pm$  SE.

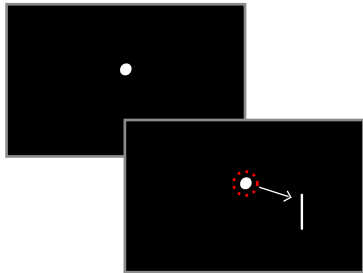
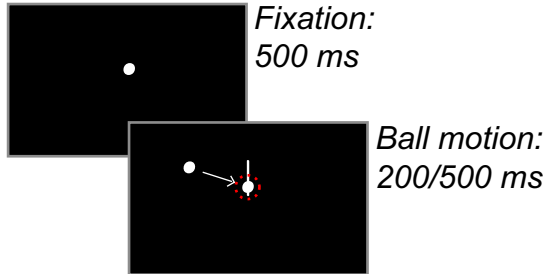
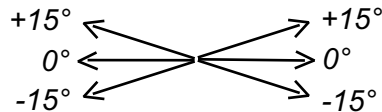
Figure 4. Mean eye velocity traces. **A:** Controls ( $n=16$ ). **B:** Patients ( $n=15$ ). Solid red lines indicate eye movement responses for 200-ms presentation duration, solid blue lines are for 500 ms. Dashed red and blue lines mark stimulus offset at 200 and 500 ms, respectively. Shaded grey areas denote analysis intervals for open-loop measures (OL – light grey; smooth pursuit onset to 100 ms after pursuit onset) and closed-loop pursuit gain (CL – dark grey; 150-300 ms after pursuit onset). For demonstration purposes, the onset of the open-loop analysis window has been aligned with the group average pursuit

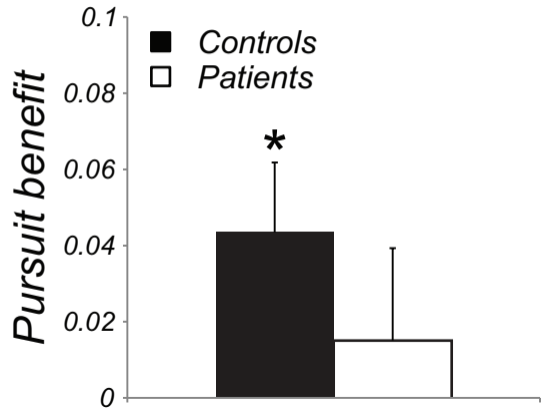
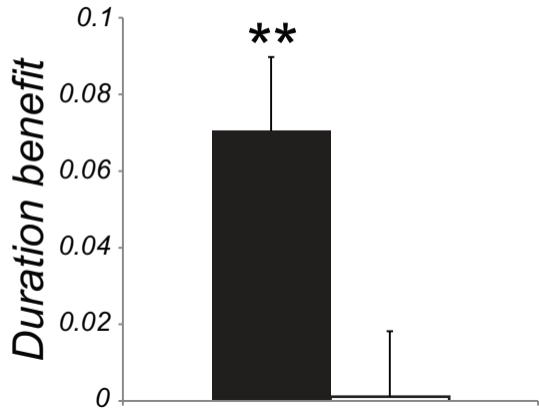


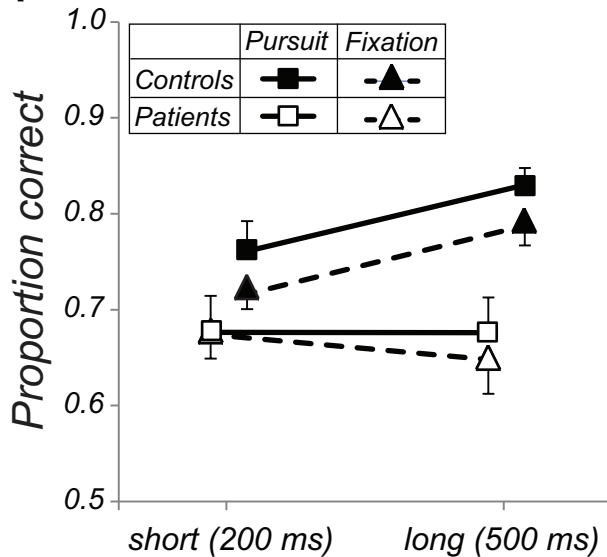
onset (approx. 180 ms). Note that the pursuit analysis was done on a trial-by-trial basis relative to pursuit latency in each single trial.

Figure 5. **A:** Gain vs. perceptual judgments in controls. Colors denote presentation durations; solid lines are best fit regression lines. Perceptual performance was significantly correlated with gain. **B:** Gain vs. perceptual judgment in patients. Correlation was not significant.

Figure 6. Mean eye position error. **A:** Results for controls. **B:** Results for patients. Pursuit data indicated by solid lines, fixation data are dashed lines; trials with correct perceptual judgment (black) include hits and correct rejections, perceptual error trials (red) are misses and false alarms. Data are means  $\pm$  SE.

**A***Pursuit trials***B***Fixation trials***C***Ball motion angles*

**A****B**

**A****B**